

BUSINESS AND HUMAN RIGHTS DUE DILIGENCE QUESTIONNAIRE

1. BACKGROUND

- 1.1 Sasol has publicly committed in its Human Rights Policy to uphold and respect human rights in all of its business activities. In addition, Sasol has a Business and Human Rights Framework which outlines its approach to incorporate and embed human rights into its business processes. A failure to respect human rights by Sasol or its associates can lead to a range of legal, financial, operational and reputational risks.
- 1.2 Sasol may also be exposed to reputational, operational, financial and legal risks if its joint venture (**JV**) partner violates human rights e.g. its operations affect local communities and environment, and there are issues relating to, inter alia, land use, relocation and resettlement of local communities, security, employment of skilled and unskilled workers, recruitment of migrant workers and access to basic services such as water and sanitation.

2. DUE DILIGENCE

- 2.1 As part of business and human rights due diligence process, you are requested to complete this Questionnaire to:
 - 2.1.1 identify your salient human rights risks which are relevant to your business relationship with Sasol;
 - 2.1.2 demonstrate the steps you have taken to effectively identify and manage business and human rights risks; and
 - 2.1.3 facilitate engagement between you and Sasol on business and human rights related issues, so that key risks/challenges can be managed.
- 2.2 You may also update any information previously provided. Answers to this Questionnaire can be entered directly into the Questionnaire or an additional document that can be attached to this Questionnaire. Such answers are confidential and will not be shared with external third parties.

3. SELF ASSESSMENT

I. JV PARTNER DETAILS	
1. Company name:	
2. Address:	
3. Contact details:	
4. Company website, if any:	
5. The nature of your line of business:	

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6. Your other (non-principal) business activities, if any:	
7. Your geographical footprint (subsidiaries/affiliates and branch offices, including small and in remote locations):	
II. BUSINESS AND HUMAN RIGHTS	
8. Do you have a human rights policy (or public human rights statement) relating to your commitment to respect human rights? If so, does the policy or statement assign responsibility for managing human rights issues to particular functions and/or members of your senior leadership?	<input type="checkbox"/> YES <input type="checkbox"/> NO Comments:
9. Do you have a process to identify actual or potential human rights impacts? If so, please describe the salient impacts you have identified, and detail any impact assessments in the last three years.	<input type="checkbox"/> YES <input type="checkbox"/> NO Comments:
10. Do you operate in high-risk countries, such as conflict zones or jurisdictions with poor human rights records where it is well-documented that the laws are incompatible with key international human rights standards, or where the law offers significantly reduced protections (e.g. regarding worker welfare)?	<input type="checkbox"/> YES <input type="checkbox"/> NO Comments:
11. Is your management team willing to engage with Sasol on human rights issues?	<input type="checkbox"/> YES <input type="checkbox"/> NO Comments:
12. Is your company required to comply with any law that requires it to conduct human rights due diligence or report on its human rights procedures? If yes, please provide details.	<input type="checkbox"/> YES <input type="checkbox"/> NO Comments:
13. In the last three years has your company (or any of its officers or employees) been subject to any investigation, inquiry, or enforcement proceedings by any governmental or regulatory	<input type="checkbox"/> YES <input type="checkbox"/> NO

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authority regarding any alleged human rights abuses?	
14. Has any of your officers or employees been convicted of any offence involving slavery, servitude, forced labour or child labour or human trafficking?	<input type="checkbox"/> YES <input type="checkbox"/> NO <u>Comments:</u>
15. Do you monitor and identify human rights red flags including in relation to slavery, forced labour or child labour or human trafficking and are you committed to take appropriate steps to combat such red flags within your supply chain processes (e.g. through contract protections and audits of your suppliers/sub-contractors)?	<input type="checkbox"/> YES <input type="checkbox"/> NO <u>Comments:</u>
16. Have you implemented any human rights training? If yes, please provide details.	<input type="checkbox"/> YES <input type="checkbox"/> NO <u>Comments:</u>
17. Do you have a process for receiving, investigating and resolving reports of human rights impacts (e.g. grievance mechanism)? If yes, please provide details, including whether a reporting process can be used by your workers, contractors and local communities.	<input type="checkbox"/> YES <input type="checkbox"/> NO <u>Comments:</u>
18. Does the nature of your operations create any potential adverse human rights impacts for local communities?	<input type="checkbox"/> YES <input type="checkbox"/> NO <u>Comments:</u>
19. If you answered “yes” to Q18, do you prohibit unlawful evictions or unlawful taking of land, forests or water that secures anyone’s livelihood?	
20. If you answered “yes” to Q18, does your company maintain controls to ensure it does not cause harmful soil change, water pollution, air pollution, noise pollution or excessive water consumption in a way that harms human health, impairs access to food, sanitary facilities or clean water?	<input type="checkbox"/> YES <input type="checkbox"/> NO
21. If relevant to your business: do you prohibit: (a) the use,	<input type="checkbox"/> YES <input type="checkbox"/> NO

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<p>manufacture and treatment of mercury added products; (b) the production and use of certain persistent organic pollutants; or (c) non-environmentally sound handling of hazardous waste?</p>	<p>Comments: [If you do not handle such products or waste in the course of your business, please answer "N/A".]</p>
<p>22. Does your company hire or use private or public security forces? If so, what measures have you implemented to ensure security forces respect human rights?</p>	<p><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>Comments: [If your company does not need to use public or private security forces, please answer "N/A".]</p>
<p>23. Do you have any other comments you would like to make?</p>	<p><input type="checkbox"/> YES <input type="checkbox"/> NO</p> <p>Comments:</p>

IV. CERTIFICATION (Please review and sign the following certification)

The undersigned authorised representative of the JV partner hereby certifies on behalf of the JV partner that the information provided in this Questionnaire is true and accurate to the best of the undersigned’s knowledge and belief. The undersigned also certifies that, if the JV partner learns of any additional information that is relevant to this Questionnaire, the JV partner will promptly report that information to Sasol. The undersigned acknowledges and agrees that the JV partner will be required from time to time to certify to Sasol, the JV partner’s compliance with business and human rights requirements.

Name of the JV partner:	
Signature:	
Name of the JV partner representative:	
Title:	
Date:	

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